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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 7

| | |
|----------------------|---------------------------|
| Application Number | 10/633,813 |
| Filing Date | August 4, 2003 |
| First Named Inventor | Kenichi Nishiuchi, et al. |
| Art Unit | 2627 |
| Examiner Name | Kim Kwok Chu |
| Attorney Docket No. | MTS-3216US1 |

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☒ Amendment/Reply
 - ☒ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
 - ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
 - ☐ Landscape Table on CD

- ☐ After Allowance Communication
to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
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Brief)
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Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | | | |
|--------------|--------------------|------------------|--------|
| Firm Name | RatnerPrestia | | |
| Signature | | | |
| Printed Name | Lawrence E. Ashery | | |
| Date | February 26, 2007 | Registration No. | 34,515 |

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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| Signature | | | |
| Typed or Printed Name | Donna M. Wellings | Date | February 26, 2007 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Application No.: 10/633,813
Amendment Dated: February 26, 2007
Reply to Office Action of: November 29, 2006

MTS-3216US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/633,813
Applicants: Kenichi Nishiuchi, et al.
Filed: August 4, 2003
Title: SUBSTRATE FOR OPTICAL RECORDING MEDIUM, OPTICAL
RECORDING MEDIUM, MASTER DISC, MASTER DISC
RECORDING APPARATUS, AND SIGNAL GENERATING
APPARATUS
TC/A.U.: 2627
Examiner: Kim Kwok Chu
Confirmation No.: 5343
Docket No.: MTS-3216US1

AMENDMENT UNDER 37 C.F.R. § 1.116

Expedited Procedure

Mail Stop AF
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Sir:

Responsive to the Final Office Action dated **November 29, 2006**, please
amend the above-identified application as follows:

- ☐ **Amendments to the Specification** begin on page of this paper.
- ☐ **Amendments to the Claims** are reflected in the listing of claims which
begins on page of this paper.
- ☐ **Amendments to the Drawings** begin on page of this paper and
include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page of this paper. A clean version of
the Abstract is on page of this paper.
- ☒ **Remarks/Arguments** begin on page 2 of this paper.